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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

4
Sector/#

In re PATENT APPLICATION of

Applicants: Thomas J. Brennan et al.

Appln. No.: 09/900,699

Filed: July 6, 2001

Title: Transgenic Mice Containing DEZ Orphan
Receptor Gene Disruptions

Order/Docket No. R-173

Deposit Account No. 50-1271

Customer No. 26619

Date: January 18, 2002

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Enclosed are Formal Drawings containing Figures 1 through 2B in compliance with 37 CFR §1.84.
5. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

THE FOLLOWING FILING FEES ARE BASED ON CLAIMS AS FILED ELECTRONICALLY ABOVE CHARACTERIZED							
6. Basic Filing Fee					\$370.00	370.00	201
7. Total Claims	25	minus 20 =	5	x 9.00	45.00		203
8. Independent Claims	10	minus 3 =	7	x 42.00	294.00		202
9. Multiple Dependent Claims					140.00	140.00	204
10. Surcharge for filing Declaration/filing fee late.					65.00	65.00	205
11. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =					\$ 914.00		
12. Original due date: December 22, 2001							
13. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	55.00	215
14. If an Assignment is included, add recording fee.					\$ 40.00	40.00	581
TOTAL FEE (enclosed):						= \$ 1009.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

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Redwood City, CA 94063
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ROBERT J. DRISCOLL, Reg. No. 47,536

02/15/2002 MMHMMH1 00000072 09900699

06 FC:215

55.00 OP

Adjustment date: 03/18/2002 MMHMMH1
02/15/2002 MMHMMH1 00000072 09900699
02 FC:203 -45.00 OP

I, Deborah A. Mojarro, certify that the enclosed documents are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on January 18, 2002.

Deborah A. Mojarro

03/18/2002 MMHMMH1 00000006 09900699

01 FC:203

27.00 OP

Repln. Ref: 03/18/2002 MMHMMH1 0013110200
DAH:501271 Name/Number:09900699
FC: 704 \$18.00 CR

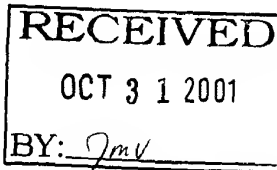
R-173/RJD



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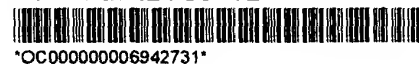
COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/900,699	07/06/2001	Thomas J. Brennan	R-173

DELTAGEN, INC.
1000 Hamilton Avenue
Menlo Park, CA 94025DUE: 12/22/01
FV: 05/22/02

CONFIRMATION NO. 3947

FORMALITIES LETTER



OC00000006942731

Date Mailed: 10/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$442. 02/15/2002 HNCNHN1 00000072 09900699
 - \$27 for 3 total claims over 20.
 - \$280 for 7 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 862.

01 FC:201	370.00 OP
02 FC:203	45.00 OP
03 FC:202	294.00 OP
04 FC:204	140.00 OP
05 FC:205	65.00 OP

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE